



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 29290.02

First Inventor Hon, David S. et al;

Title Composition Of Oak Bark Extract, Related...

Express Mail Label No. EV 317317816 US

PTO

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 15]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets ]
5. Oath or Declaration [ Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
     (for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
     Signed statement attached deleting inventor(s)  
     named in the prior application, see 37 CFR  
     1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent to this application.  Power of  
Attorney;  Other: Check \$375
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09/716,890

Prior application information: Examiner Patten

Art Unit: 1654

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number				or <input type="checkbox"/> Correspondence address below
Name	Paul E. Hodges			
Address	Pitts & Brittan, P.C. P.O. Box 51295			
City	Knoxville	State	TN	Zip Code 37950-1295
Country	United States	Telephone	865-584-0105	Fax 865-584-0104
Name (Print/Type)	Paul E. Hodges	Registration No. (Attorney/Agent)	20,972	
Signature				Date 8/13/03

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08/21/03  
152  
US  
PTO

Docket Number: 29290.02

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>FEE TRANSMITTAL</b> <b>for FY 2003</b>		<i>Complete if Known</i>	
		Application Number	
		Filing Date	
		First Named Inventor	Hon, David S. et al;
		Examiner Name	
		Group Art Unit	
		Attorney Docket No.	29290.02
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
TOTAL AMOUNT OF PAYMENT		(\$)	
375		29290.02	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number <b>16-1910</b> <input type="checkbox"/> Deposit Account Name <b>Pitts &amp; Brittian, P.C.</b>		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td>Fee Description</td> <td></td> </tr> <tr> <td>1051</td> <td>130</td> <td>65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>130 Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812 2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920</td> <td>1804 920 Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840</td> <td>1805 1,840 Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>410</td> <td>205 Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>930</td> <td>465 Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,450</td> <td>725 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>1,970</td> <td>.985 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>320</td> <td>160 Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>160 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>140 Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>55 Petition to revive - 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**or number previously paid, if greater. For Reissues, see above 14 Total Claims <b>10</b> -20** = <b>0</b> X <b>9</b> = <b>0</b> Independent Claims <b>2</b> 3** = <b>0</b> X <b>42</b> = <b>0</b> Multiple Dependent _____ = _____  <b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>750</td> <td>2001 Utility filing fee</td> <td><b>375</b></td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002 Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>520</td> <td>2003 Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>750</td> <td>2004 Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005 Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="2"></td> <td><b>SUBTOTAL(1) (\$)</b></td> <td><b>375</b></td> </tr> </tbody> </table> <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202 9 Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>.84</td> <td>2201 42 Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>280</td> <td>2203 140 Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204</td> <td>84</td> <td>2204 42 ** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205 9 ** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="2"></td> <td><b>SUBTOTAL (2) (\$)</b></td> <td><b>0</b></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	1001	750	2001 Utility filing fee	<b>375</b>	1002	330	2002 Design filing fee		1003	520	2003 Plant filing fee		1004	750	2004 Reissue filing fee		1005	160	2005 Provisional filing fee				<b>SUBTOTAL(1) (\$)</b>	<b>375</b>	Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	1202	18	2202 9 Claims in excess of 20		1201	.84	2201 42 Independent claims in excess of 3		1203	280	2203 140 Multiple dependent claim, if not paid		1204	84	2204 42 ** Reissue independent claims over original patent		1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent				<b>SUBTOTAL (2) (\$)</b>	<b>0</b>																																																																				
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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Paul E. Hodges	Registration No. (Attorney/Agent)	20,972	Telephone (865)584-0105
Signature	<i>Paul E. Hodges</i>			

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